

Attorney Docket No. 990401CIP2/TL

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Stephen James CRAMPTON

Serial No. : 09/604,307

Filed : June 27, 2000

For : METHOD AND APPARATUS FOR THE
GENERATION OF COMPUTER GRAPHIC
REPRESENTATIONS OF INDIVIDUALS

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION**

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

S I R :

Responsive to the Patent Office Notice mailed August 23, 2000, the term for response expiring on October 23, 2000, submitted herewith are the following:

1. Declaration executed by the inventor, referring to the application by Serial Number and filing date.
2. Statement Claiming Small Entity Status.
3. *s/b 3/5* A check in the amount of \$3,065.00 to cover (i) the basic filing fee of \$345.00, (ii) the total additional claims fee of \$2,655.00, and (iii) the surcharge of \$65.00 for submission of the Declaration & Power of Attorney. If any additional fees are required, authorization is given to charge same against Account No. 06-1378.

It is respectfully requested that prosecution on the merits now proceed.

Respectfully submitted,

Thomas Langer
Thomas Langer
(Reg. No. 27,264)

Frishauf, Holtz, Goodman, Langer & Chick, P.C.
767 Third Avenue (25th Floor)
New York, New York 10017-2023
Tel. No. (212) 319-4900
Facsimile (212) 319-5101
TL:yu

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Trademarks, Arlington, Va. 22202-3513, on the date noted below.

Thomas Langer
Attorney: Thomas Langer

Dated: October 23, 2000

To the extent not tendered by check attached hereto, authorization to charge the any fee required in connection with this Paper, to Account No. 06-1378.

FORMALITIES LETTER

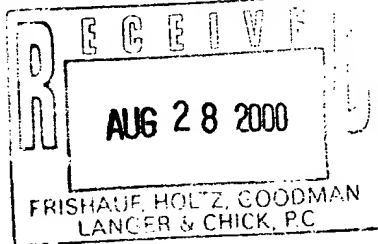


OC000000005346314

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/604,307	06/27/2000	Stephen James Crampton	990401CIP2/TL

Frishauf Holtz Goodman Langer & Chick P C
767 Third Avenue
New York, NY 10017-2023



Date Mailed: 08/23/2000

Due: Oct. 23, '00

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$5310.
 - \$2502 for 139 total claims over 20.
 - \$2808 for 36 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 6130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

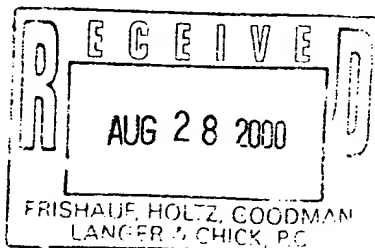
PART 1 - ATTORNEY/APPLICANT COPY

FILING RECEIPT

OC00000005346313

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/604,307	06/27/2000	2773	0	990401CIP2/TL	53	159	39

Frishauf Holtz Goodman Langer & Chick P C
767 Third Avenue
New York, NY 10017-2023

Date Mailed: 08/23/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Stephen James Crampton, Waltham Cross, UNITED KINGDOM;

Continuing Data as Claimed by Applicant**Foreign Applications**

If Required, Foreign Filing License Granted 08/23/2000

—

Title

Method and apparatus for the generation of computer graphic representations of individuals

Preliminary Class

345

Data entry by : HARRISON, BRUCE

Team : OIPE

Date: 08/23/2000



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, DC 20231

Atty. Docket: 990401CIP2/TL
Applicant : Stephen James Crampton

Atty. Docket: 990401CIP2/TL
Applicant : Stephen James CRAMPTON
Serial No. : 09/604,307

RECEIPT OF THE FOLLOWING IS HEREBY ACKNOWLEDGED:

TRANSMITTAL LETTER
POST-FILED DECLARATION,
SMALL ENTITY DECLARATION,
DECLARATION & POWER OF ATTORNEY
CHECK NO. 80474 FOR \$3,065.00

DATE DUE: OCTOBER 23, 2000
DATE DEPOSITED: OCTOBER 23, 2000



**FRISHAUF, HOLTZ, GOODMAN, LANGER &
CHICK, P.C.**

ATTORNEYS-AT-LAW
767 THIRD AVENUE, 25TH FLOOR
NEW YORK, NY 10017-2023

80474

1-482/210
BRANCH 30

PAY
TO THE
ORDER OF COMMISSIONER OF PATENTS AND TRADEMARKS

DATE Oct. 23, 2000

\$ 3,065.⁰⁰/₁₀₀

Three Thousand Sixty-five

DOLLARS Security features included. Details on back.

THIS CHECK IS DELIVERED IN CONNECTION WITH THE FOLLOWING ACCOUNT (S)

990401CIP2/TL	Crampton
09/604,307	
Post-filed Decl.	Filing Fee
	+ Search Fee

FRISHAUF, HOLTZ, GOODMAN

LANGER & CHICK, P.C.

⑈080474⑈ ⑆021004823⑆ 300053495⑈

REPUBLIC NATIONAL BANK OF NY
605 third avenue br 30
new york, ny 10158